MAY 2 0 2004 BY

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kim et al.

Serial No.:

10/620,477

Art Unit: 2816

Filed:

July 15, 2003

Examiner: Lam, Tuan Thieu

For:

Adaptive Noise Filtering and

Equalization for Optimal High)
Speed Multilevel Signal Decoding)

Confirmation No.: **8614**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citation of information on the attached Form PTO-1449, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each cited item is enclosed.

The citation of this information does not constitute an admission of priority or that any cited item is available as a reference, or a waiver of any right the applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

Steven P. Wigmore

Reg. Nov. 40,447

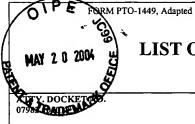
King & Spalding LLP 191 Peachtree Street, N.E., 45th Floor Atlanta, GA 30303 (404) 572-4600

K&S Docket: 07982.105018 US

3486351

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on May 18, 2004.

Steven P. Wigmore, Reg. No. 40,44



LIST OF INFORMATION DISCLOSED BY APPLICANT (Use several sheets if necessary)

8 . 8			
0798 PADE	SERIAL NO. 10/620,477	FILING DATE July 15, 2003	
APPLICANT		GROUP	
Kim et al.		2816	

			U.S.	PATENT DOCUMENT	S				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS		G DATE OPRIATE
	AA	5,181,136	1/19/1993	Kavehrad et al.				9/20/19	90
	AB	5,625,722	4/29/1997	Froberg et al.				12/21/1	994
	AC	6,002,717	12/14/1999	Gaudet, Brian				5/28/19	97
	AD	6,388,786 B1	5/14/2002	Ono et al.				6/13/20	00
	AE	6,421,155 B1	7/16/2002	Yano, Yutaka				5/27/1998	
	AF	6,501,792 B2	12/31/2002	Webster, Stephen Paul		-		9/6/2001	
	AG	6,665,500 B2	12/16/2003	Snawerdt, Peter				1/29/20	01 .
	AH	2002/0196508 A1	12/26/2002	Wei et al.				10/4/20	01
	ΑI	2003/0002121 A1	1/2/2003	Miyamoto et al.			-	6/26/20	02
	AJ								
	AK								-,
			FOREI	GN PATENT DOCUME	NTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY		NAME		TRANSI YES	NO NO
	AL	WO 02/067521 A1	8/29/2002	PCT	Vrazel et al.	razel et al.			
	AM	WO 02/091600 A2	11/14/2002	PCT	Schmukler et al.				
	AN	WO 03/077423 A2	9/18/2003	PCT	Hietala et al.				
· -	AO	WO 03/092237 A1	11/6/2003	PCT	Vrazel et al.				
	AP	WO 2004/008782 A	2 1/22/2004	PCT	Kim et al.				
	AQ								
. <u>.</u>	AR								
	AS							<u> </u>	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/	1	, P	E	FORM	1 PTC)-1449, Adapted	
PATER	MAY	2 0	2004	109 30W	*	LIST (_
•	_		-	K 210			

LIST OF INFORMATION DISCLOSED BY APPLICANT

(Use several sheets if necessary)

	(Use	several sneets ty necessary)
TATA POCA NO.	SERIAL NO.	FILING DATE
07982.133018	10/620,477	July 15, 2003
APPLICANT		GROUP
Kim et al.		2816

	OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)
BA	Choi et al.; A 0.18-µm CMOS 3.5-Gb/s Continuous-Time Adaptive Cable Equalizer Using Enhanced Low- Frequency Gain Control Method; IEEE Journal of Solid-State Circuits; March 2004; Vol. 39, No. 3; pp. 419- 425
BB	Paul, et al.; 3 Gbit/s Optically Preamplified Direct Detection DPSK Receiver With 116 photon/bit Sensitivity; Electronics Letters; Vol. 29, No. 7; April 1, 1993; pp. 614-615
BC	Penninckx et al.; Optical Differential Phase Shift Keying (DPSK) Direct Detection Considered as a Duobinary Signal; Proc. 27 th Eur. Conf. on Opt. Comm. (ECOC'01 – Amsterdam); Vol. 3; September 30 to October 4, 2001; pp. 456-457
BD	Rohde et al.; Robustness of DPSK Direct Detection Transmission Format in Standard Fibre WDM Systems; Electronics Letters; Vol. 36, No. 17; August 17, 2000; pp. 1483-1484
BE	Shirasaki et al.; Fibre Transmission Properties of Optical Pulses Produced Through Direct Phase Modulation of DFB Laser Diode; Electronics Letters; Vol. 24, No. 8; April 14, 1988; pp. 486-488
BF	
BG	
ВН	
BI	
ВЈ	·
BK	
BL	
ВМ	
BN	
ВО	
BP	
BQ	
BR	
BS	
ВТ	

EXAMINER	DATE CONSIDERED				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in					
conformance and not considered. Include copy of this form with next communication to applicant.					